

# Wendi Du

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## EMPLOYMENT

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<b>University of South Carolina</b> Assistant Professor in Finance	Columbia, SC 2024-Present
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## EDUCATION

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<b>Georgia Institute of Technology</b> Ph.D. in Finance M.S. in Management	Atlanta, GA 2024 2020
<b>The George Washington University</b> M.S. in Finance	Washington, DC 2018
<b>Dalian University of Technology</b> Bachelor of Economics, Finance	Dalian, China 2015

## RESEARCH INTERESTS

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FinTech, Innovation, AI in Natural Language Processing (NLP), Responsible and Sustainable Finance

## JOB MARKET PAPER

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### **Unlocking the Collateral Value of Trademarks: The Role of Asset Redeployability**

Trademarks, the legal protection of brands, are increasingly pledged as collateral in practice. This paper investigates the redeployability channel of trademarks' collateral value. Using a novel court decision that exogenously weakens trademark redeployability, I find a 3.4 percentage point reduction in affected firms' book leverage, equivalent to a 16.9% decrease in their average book leverage. By using firm-level trademark portfolio data and employing natural language processing (NLP) techniques, including ChatGPT, I show that firms with more licensed trademarks (i.e., those more exposed to the court ruling), experience a stronger negative impact. Additionally, affected firms are less likely to pledge their registered trademarks as collateral afterward. When they do pledge, they pledge a greater number of trademarks, as well as more valuable ones. Affected firms also register fewer new trademarks in the future. In sum, my results highlight the value of trademark collateral in enhancing firms' debt capacity through its redeployability channel.

- AFFECT Mentoring Workshop 2025; FMA 2024; Georgia Institute of Technology; University of South Carolina; Babson College; Virginia Tech; University of Alberta; UC Riverside

## WORKING PAPERS

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### **Firm-Level Input Price Changes and Their Effects: A Deep Learning Approach**

- with Sudheer Chava, Indrajit Mitra, Agam Shah, and Linghang Zeng
- *Best Paper Award on FinTech at FMA (2022)*

Generative AI in Finance 2024; Conference on Emerging Technologies in Accounting and Financial Economics (CETAPE) 2023; University of Miami Finance Seminar 2023\*; AFA 2023; MFA 2023; CFEA 2022; UT Dallas Annual Finance Conference 2022; SITE Conference on New Frontiers in Asset Pricing 2022\*; 12th MRS Ph.D. Seminar 2023; UF Research Conference on Machine Learning in Finance 2022\*; Swedish House of Finance Conference on Machine Learning and AI in Finance 2022\*; Texas A&M University Finance Seminar 2022\*; FMA 2022; CICF 2022

### **Do Managers Walk the Talk on Environmental and Social Issues?**

- with Sudheer Chava and Baridhi Malakar

UF Research Conference on Machine Learning in Finance 2022; OCC Symposium on Climate Risk in Banking and Finance 2022\*; CICF 2022; Eastern Finance Association 2022; India Finance Conference 2021\*; AFBC 2021; NZFM 2021; CEF Group Climate Finance Symposium 2021; Conference on CSR, the Economy and Financial Markets 2021; Wells Fargo Machine Learning Interest Group 2021\*; Tsinghua University Accounting Seminar 2021

## **How Informative are Corporate Disclosures on Emerging Technologies? A Language Embedding Approach**

- with Sudheer Chava and Nikhil Paradkar

MFA 2022; Eastern Finance Association 2022; CICF 2021; University of Nevada, Las Vegas seminar 2020\*; Journal Of Investment Management (JOIM) Conference 2020\*

\* Co-author presentations

## PUBLICATION

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### **When FLUE Meets FLANG: Benchmarks and Large Pre-trained Language Model for Financial Domain**

- with Raj Sanjay Shah, Kunal Chawla, Dheeraj Eidnani, Agam Shah, Sudheer Chava, Natraj Raman, Smiley Charese, Jiaao Chen, Diyi Yang

- *Published at Empirical Methods in Natural Language Processing (EMNLP) 2022*

- *One of the Top NLP conferences in Computer Science*

## TEACHING EXPERIENCE

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| • FINA 469 Investment Analysis and Portfolio Management                | Spring 2025 |
| • M.S. QCF Bootcamp – Foundations of Natural Language Processing (NLP) | Summer 2023 |
| • MGT 3078: Finance & Investment                                       | Spring 2022 |
| • MGT 3078: Finance & Investment                                       | Spring 2021 |

## PROFESSIONAL SERVICE

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| • Ad-hoc referee for Pacific-Basin Finance Journal   | 2025 |
| • Ad-hoc referee for Contemporary Accounting Research  | 2023 |
| • Ad-hoc referee for Journal of Banking and Finance  | 2022 |
| • Organized the Atlanta Rising Scholar Symposium in Finance (ARSS)   | 2022 |
| • Discussing at Eastern Finance Association, MFA, UF Research Conference on Machine Learning in Finance 2022 |      |
| • Discussing at NZFM, AFBC, ARSS, CEF Group Climate Finance Symposium  | 2021 |

## HONORS AND AWARDS

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| • Best Paper Award at the Annual Meeting of FMA              | 2022      |
| • AFA PhD Student Travel Grant                               | 2022      |
| • Reflective Teacher Badge                                   | 2022      |
| • QPR Gatekeeper Certificate                                 | 2022      |
| • The George Washington University SBPM Tuition Award        | 2016-2018 |
| • Dalian University of Technology Outstanding Graduate Award | 2015      |
| • Dalian University of Technology Excellent Academic Award   | 2011-2014 |

## OTHER INFORMATION

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- Languages: English and Mandarin
- Programming Languages: Python, R, STATA, SAS